

# Relative Sen's theory and locally analytic vectors

Advisor: Prof. Wiesława Nizioł

Yicheng Zhou

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## Sen's theory

- ▶  $K/\mathbf{Q}_p$  finite extension,  $C = \hat{K}$ , and  $K_{\text{cyc}} = K(\mu_{p^\infty})$ .

### Theorem (Sen, 1980)

For any f.d. semi-linear  $C$ -representation  $V$  of  $\text{Gal}(\bar{K}/K)$ , we have

1.  $V = C \otimes_{K_{\text{cyc}}} D_{\text{Sen}}(V)$  where

$$D_{\text{Sen}}(V) = V^{\text{Gal}(\bar{K}/K_{\text{cyc}})\text{-inv, } K\text{-fin}}.$$

2. Sen's operator  $\Theta_V$  given by the infinitesimal action of  $\text{Gal}(K_{\text{cyc}}/K)$  on  $D_{\text{Sen}}(V)$ . And  $\Theta_V \in C \otimes_{\mathbf{Q}_p} \text{Lie}(G)$  where  $G$  is the image of  $\text{Gal}(\bar{K}/K) \rightarrow \text{GL}(V)$ .
- ▶ May replace  $K_{\text{cyc}}$  by other infinitely ramified Galois extensions over  $K$  with Galois group a 1-dim  $p$ -adic Lie group.
  - ▶ Counterexample (for decompletion) in higher dimensional case.

# Sen's theory

Colmez (2001), Berger-Colmez (2008): Tate-Sen's formalism.

## Theorem (Berger-Colmez, 2016)

Let  $K_\infty/K$  be a Galois extension with  $G = \text{Gal}(K_\infty/K)$  a  $p$ -adic Lie group, which is infinitely ramified.

1. For any f.d. semi-linear  $\hat{K}_\infty$ -representation  $W$  of  $G$ , we have  $W = \hat{K}_\infty \otimes_{\hat{K}_\infty^{G-Ia}} W^{G-Ia}$ ;
2.  $\hat{K}_\infty^{G-Ia}$  is annihilated by Sen's operator  $\Theta_V \in C \otimes_{\mathbf{Q}_p} \text{Lie}(G)$  (associated with  $C \otimes_{\mathbf{Q}_p} V$ , where  $V$  is a faithful  $\mathbf{Q}_p$ -representation of  $G$ ).

## Locally analytic vectors

- ▶  $f : U \rightarrow \mathbf{Q}_p$  is *locally analytic* if for any  $x_0 \in U$ , one may expand

$$f(x) = \sum_{\alpha \in \mathbf{N}^d} b_\alpha (x - x_0)^\alpha$$

for all  $x$  in some small disk  $B(x_0, \varepsilon) \subset U$ .

- ▶ Define  $p$ -adic (locally analytic) manifolds (modelled on  $\mathbf{Z}_p^d$ ) and locally analytic functions on it.
- ▶ Let  $G$  be a  $p$ -adic Lie group,  $V$  be a  $\mathbf{Q}_p$ -Banach representation of  $G$ . We call  $v \in V$  locally analytic if the function  $G \rightarrow V$ ,  $g \mapsto g \cdot v$  is locally analytic.

## Locally analytic vectors

Let  $B$  be a  $\mathbf{Q}_p$ -Banach representation of  $G$ .

- ▶  $\mathrm{Lie}(G)$  acts naturally on  $B^{G\text{-la}}$ .
- ▶ Fact: if  $B$  is finite dimensional over  $\mathbf{Q}_p$ , then  $B^{G\text{-la}} = B$ . So  $K$ -finite vectors are  $G$ -locally analytic.
- ▶ If  $G \simeq \mathbf{Z}_p$  and there exists  $m$  such that  $(\gamma - \mathrm{Id})^m B^\circ \subset p^2 B^\circ$  for all  $\gamma \in G$ , then  $B$  is locally analytic.

# Main theorem

## Theorem (Lue Pan, 2020)

*Let  $\mathrm{Spa}(A, A^+)$  be a small 1-dim smooth affinoid adic space over  $C$ , and  $\mathrm{Spa}(B, B^+) \rightarrow \mathrm{Spa}(A, A^+)$  an affinoid perfectoid pro-étale Galois covering with Galois group  $G$  a  $p$ -adic Lie group. Then there exists  $\theta \in B \otimes_{\mathbf{Q}_p} \mathrm{Lie}(G)$ , unique up to  $A^\times$ , which annihilates  $B^{G-l_a}$ .*

Applicable to affinoid perfectoid modular curve  $\mathcal{X}_{K^p}$  over  $\mathcal{X}_{K^p K^p}$  (need log case); helps study the locally analytic sections of  $\pi_{HT*} \mathcal{O}_{\mathcal{X}_{K^p}}$  over  $\mathcal{F}l$  where  $\pi_{HT} : \mathcal{X}_{K^p} \rightarrow \mathcal{F}l$  is the Hodge-Tate period map (Scholze).

# Tate-Sen's formalism

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# Tate-Sen's formalism

Tate-Sen's conditions (Colmez):

1.  $B_{G_0, \infty}^+$  is almost étale over  $B_{G_1, \infty}^+$  for open subgroups  $G_0 \subset G_1$  of  $G$  (almost purity).
2. There are "trace maps"  $\bar{\text{tr}}_{G_0, n} : B_{G_0, \infty} \rightarrow B_{G_0, n}$ ,  $n \in \mathbf{N}$  such that
  - ▶  $\bar{\text{tr}}_{G_0, n}$  is  $B_{G_0, n}$ -linear and fixes  $B_{G_0, n}$ ;
  - ▶  $\bar{\text{tr}}_{G_0, n}(B_{G_0, \infty}^+) \subset p^{-\varepsilon_n} B_{G_0, n}^+$  with  $\lim_n \varepsilon_n = 0$ ;
  - ▶  $\lim_n \bar{\text{tr}}_{G_0, n}(x) = x$  for  $x \in B_{G_0, n}$ ;
  - ▶ compatible with taking subgroups, conjugation and the action of  $G$ .
3. For any  $n \in \mathbf{N}$ , there is a sequence  $\varepsilon_m \rightarrow 0$  such that if  $\gamma_n$  is a generator of  $p^n \Gamma$ ,
  - ▶  $\gamma_n - 1$  is invertible on  $X_{G_0, m} = \ker \bar{\text{tr}}_{G_0, m} \subset B_{G_0, \infty}$ ;
  - ▶  $(\gamma_n - 1)^{-1}(X_{G_0, m}) \subset p^{-\varepsilon_m} X_{G_0, m}$ .

# Tate-Sen's formalism

Proposition (Colmez, 2001, specialised to our case)

Fix  $c < \frac{1}{2}$  inside  $|C^\times|$ . Let  $T$  be a  $\mathbf{Z}_p$ -representation of  $G$  free of rank  $d$ , and  $G_0 \subset G$  an open subgroup acting trivially mod  $p$ . Then for sufficiently large  $n$ , there exists a unique  $B_{G_0, n}^+$ -submodule  $D_{G_0, n}^+(T) \subset B_\infty^\circ \otimes_{\mathbf{Z}_p} T$  free of rank  $d$  satisfying

- ▶  $D_{G_0, n}^+(T)$  is fixed by  $G_0$  and stable under  $G \times \Gamma$ ;
- ▶  $B_\infty^\circ \otimes_{B_{G_0, n}^+} D_{G_0, n}^+(T) \rightarrow B_\infty^\circ \otimes_{\mathbf{Z}_p} T$  is an isomorphism;
- ▶  $D_{G_0, n}^+(T)$  has a  $B_{G_0, n}^+$ -basis such that the matrices of  $\Gamma$  is trivial mod  $p^c$ .

In particular, there exists  $m$  independent of  $T$  such that for all  $\gamma \in \Gamma$ ,  $(\gamma - 1)^m D_{G_0, n}^+(T) \subset p^2 D_{G_0, n}^+(T)$ , so that  $D_{G_0, n}^+(T)$  is  $\Gamma$ -locally analytic.

# Tate-Sen's formalism

## Corollary

For any f.d.  $\mathbf{Q}_p$ -representation  $V$  of  $G$ , there exists a unique

$$\phi_V : \text{Lie}(\Gamma) \rightarrow \text{End}_{B_\infty}(B_\infty \otimes_{\mathbf{Q}_p} V)$$

extending the natural action of  $\text{Lie}(\Gamma)$  on  $(B_\infty \otimes_{\mathbf{Q}_p} V)^{G\text{-inv}, \Gamma\text{-la}}$ .

Moreover,

1.  $\phi_V$  commutes with  $G \times \Gamma$ ;
2.  $\phi_V$  is functorial in  $V$ ;
3.  $\phi_{V \otimes W} = \phi_V \otimes \text{Id} + \text{Id} \otimes \phi_W$ .

## Proof of corollary

- ▶ Fix  $c < \frac{1}{2}$  inside  $|C^\times|$ . Choose a  $G$ -stable lattice  $T \subset V$  and  $G_0 \subset G$  an open normal subgroup acting trivially mod  $p$  on  $T$ .
- ▶ By proposition,
  - ▶  $B_\infty^\circ \otimes_{\mathbf{Z}_p} T \simeq B_\infty^\circ \otimes_{B_{G_0,n}^+} D_{G_0,n}^+(T)$ , compatible with  $G_0, n$ ;
  - ▶  $D_{G_0,n}(T)$  is  $\Gamma$ -locally analytic.
- ▶ Galois descent:  $D_{G_0,n}(T) \simeq B_{G_0,n} \otimes_A D_{G,n}(T)$ .
- ▶  $\text{Lie}(\Gamma)$  acts linearly on

$$\left(\bigcup_{n' \geq n} B_{G_0,n'}\right) \otimes_{B_{G_0,n}} D_{G_0,n}(T) \simeq \left(\bigcup_{n' \geq n} B_{G_0,n'}\right) \otimes_{A_n} D_{G,n}(T)$$

thus on

$$\begin{aligned} \left(\bigcup_{n' \geq n} A_{n'}\right) \otimes_{A_n} D_{G,n}(V) &= (A_\infty \otimes_{A_n} D_{G,n}(V))^{\Gamma\text{-la}} \\ &= (B_\infty \otimes_{A_n} D_{G,n}(V))^{G\text{-inv}, \Gamma\text{-la}} \\ &= (B_\infty \otimes_{\mathbf{Q}_p} V)^{G\text{-inv}, \Gamma\text{-la}}. \end{aligned}$$

Calculate  $A_\infty^{\Gamma\text{-la}}$ :

- ▶  $\bar{\text{tr}}_n : A_\infty \rightarrow A_n$  is continuous, so maps  $A_\infty^{\Gamma\text{-an}}$  into  $A_n^{\Gamma\text{-an}}$ .
- ▶  $A_n^{\Gamma\text{-an}} = A_n^{\Gamma\text{-inv}} = A$ .
- ▶ Letting  $n \rightarrow +\infty$ , we get  $A_\infty^{\Gamma\text{-an}} = A$ .
- ▶ In the same way, we get  $A_\infty^{p^m\Gamma\text{-an}} = A_m$ .

## More on analytic functions

- ▶ There exists an open subgroup  $G_0 < G$  and a continuous bijection

$$c : \mathbf{Z}_p^d \rightarrow G_0, (x_1, \dots, x_d) \mapsto g_1^{x_1} \cdots g_d^{x_d}$$

such that  $G_n := G_0^{p^n}$  is a subgroup and  $c : p^n \mathbf{Z}_p^d \xrightarrow{\cong} G_n$ .

- ▶ For  $B$  a  $\mathbf{Q}_p$ -Banach representation of  $G$ , we may define  $\mathcal{C}^{\text{an}}(G_n, B)$  using the above homeomorphisms, namely  $B^{G_n\text{-an}}$ .
- ▶ One has

$$\begin{aligned} B^{G_n\text{-an}} &\simeq \mathcal{C}^{\text{an}}(G_n, B)^{G_n}, v \mapsto (f_v : g \mapsto g \cdot v). \\ &\simeq (B \hat{\otimes}_{\mathbf{Q}_p} \mathcal{C}^{\text{an}}(G_n, \mathbf{Q}_p))^{G_n} \\ B^{G\text{-la}} &= \bigcup_n B^{G_n\text{-an}}. \end{aligned}$$

## More on analytic functions

- ▶ Fact: the left and right translation actions of  $G_{n+1}$  on  $\mathcal{C}^{\text{an}}(G_n, \mathbf{Q}_p)$  are trivial mod  $p$ .
- ▶ Fact: there exists finite dimensional  $\mathbf{Q}_p$ -subspaces  $V_k$ ,  $k \in \mathbf{N}$  of  $\mathcal{C}^{\text{an}}(G_n, \mathbf{Q}_p)^\circ$  stable under left and right translations such that  $V_k V_l \subset V_{k+l}$  and  $\bigcup_k V_k$  is a dense subspace.

## Proposition

*There exists a unique action*

$$\phi_{G_0} : \text{Lie}(\Gamma) \rightarrow \text{End}_{B_\infty}(B_\infty \hat{\otimes}_{\mathbf{Q}_p} \mathcal{C}^{an}(G_0, \mathbf{Q}_p))$$

*extending the natural one on  $(B_\infty \hat{\otimes}_{\mathbf{Q}_p} \mathcal{C}^{an}(G_0, \mathbf{Q}_p))^{G_0\text{-inv}, \Gamma\text{-la}}$ .*

*Moreover,*

1.  $\phi_{G_0}$  commutes with  $\Gamma$ ;
2.  $\phi_{G_0}$  commutes with the right translation action of  $G_0$  on  $B_\infty \hat{\otimes}_{\mathbf{Q}_p} \mathcal{C}^{an}(G_0, \mathbf{Q}_p)$ ;
3.  $\phi_{G_0}$  is a derivation:  $\forall \theta \in \text{Im } \phi_{G_0}, \theta(f_1 f_2) = \theta(f_1) f_2 + f_1 \theta(f_2)$ .



## Proof of proposition

- ▶ Fix  $c < \frac{1}{2}$  inside  $|C^\times|$ . The  $G_0$ -stable lattices  $V_k^\circ \subset V_k$  are such that  $G_1$  acts trivially mod  $p$  on  $V_k^\circ$ , for all  $k \in \mathbf{N}$ .
- ▶ By Tate-Sen-Colmez,
  - ▶  $B_\infty^\circ \hat{\otimes}_{\mathbf{Z}_p} \mathcal{C}^{\text{an}}(G_0, \mathbf{Q}_p)^\circ \simeq B_\infty^\circ \hat{\otimes}_{B_{G_1, n}^+} D_{G_1, n}^+$ , compatible with  $n$ ;
  - ▶  $D_{G_1, n}$  is  $\Gamma$ -locally analytic.
- ▶ Galois descent:  $D_{G_1, n} \simeq B_{G_1, n} \otimes_{B_{G_0, n}} D_{G_0, n}$ .
- ▶  $\text{Lie}(\Gamma)$  acts linearly on

$$\begin{aligned} (\cup_{n' \geq n} B_{G_0, n'}) \otimes_{B_{G_0, n}} D_{G_0, n} &= (B_{G_0, \infty} \hat{\otimes}_{B_{G_0, n}} D_{G_0, n})^{\Gamma\text{-la}} \\ &= (B_\infty \hat{\otimes}_{B_{G_0, n}} D_{G_0, n})^{G_0\text{-inv}, \Gamma\text{-la}} \\ &= (B_\infty \hat{\otimes}_{\mathbf{Q}_p} \mathcal{C}^{\text{an}}(G_0, \mathbf{Q}_p))^{G_0\text{-inv}, \Gamma\text{-la}}. \end{aligned}$$

# Existence

- ▶  $\phi_{G_0}$  extends uniquely the natural action of  $\text{Lie}(\Gamma)$  on

$$(B_\infty \hat{\otimes}_{\mathbf{Q}_p} \mathcal{C}^{\text{an}}(G_0, \mathbf{Q}_p))^{G_0\text{-inv}, \Gamma\text{-la}} = B_\infty^{G_0\text{-an}, \Gamma\text{-la}}$$

thus also the natural action on  $B_\infty^{G\text{-la}, \Gamma\text{-la}}$ .

- ▶ Explicitly:

# Uniqueness

- ▶  $f : \mathrm{Spa}(A, A^+) \rightarrow \mathbf{T}^1$  be a toric chart.
- ▶  $\Omega_{A/C}^1 = A \cdot d\log f^* T$  is free of rank 1.
- ▶  $f'$  be another chart, then  $d\log f'^* T = a d\log f^* T$ .

## Proposition

We have  $\phi'_{G_0} = a^{-1} \phi_{G_0} : \mathrm{Lie}(\Gamma) \mapsto B \otimes_{\mathbf{Q}_p} \mathrm{Lie}(G)$ . So the following map is independent of toric charts

$$\phi_{G_0} \otimes d\log f^* T : \mathrm{Lie}(\Gamma) \mapsto (B \otimes_{\mathbf{Q}_p} \mathrm{Lie}(G)) \otimes_A \Omega_{A/C}^1.$$

Thank you!